

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
: Examiner: Lalita M. Hamilton  
GRACIELA CHICHILNISKY )  
: Group Art Unit: 3624  
Application No.: 09/687,651 )  
:   
Filed: October 13, 2000 )  
:   
For: INTERNET BASED SECURE VIRTUAL )  
EXCHANGE AND DISTRIBUTED )  
RELATIONAL DATABASE FOR )  
CROSS BORDER TRADING OF )  
SECURITIES ) November 12, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE TO NOTICE REGARDING POWER OF ATTORNEY

Sir:

Applicant has received a Notice Regarding Power of Attorney ("Notice") alleging that the Power of Attorney submitted by Applicant on August 30, 2004 did not include the Certificate required under 37 CFR 3.73(b). However, Applicant respectfully submits that such Certificate was included in the middle of the document entitled "Power of Attorney By Assignee

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

November 12, 2004

Date of Deposit

Justin D. Petruzzelli (Reg. No. 52,118)

Name



Signature

November 12, 2004


Date of Signature

to Exclusion of Inventor Under 37 C.F.R 3.71 With Revocation of Prior Powers," which was included in Applicant's submission of August 30. A copy of such submission, as well as the Notice, are enclosed herewith.

Applicant's undersigned attorney may be reached by telephone at (973) 597-2500.

All correspondence should be directed to our address listed below.

Respectfully submitted,



Justin D. Petruzzelli  
Attorney for Applicant

Registration No. 52,118

Docket Administrator  
Lowenstein Sandler PC  
65 Livingston Avenue  
Roseland, NJ 07068  
**Customer Number 28221**

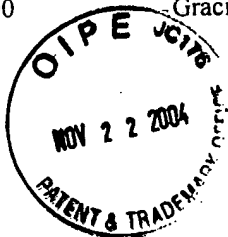


## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/687,651	10/13/2000	Graciela Chichilnisky	23366-703

21971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050



CONFIRMATION NO. 6637  
\*OC000000014294058\*  
\*OC000000014294058\*

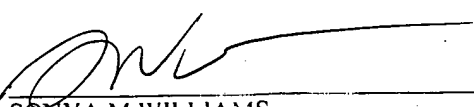
Date Mailed: 11/04/2004

## NOTICE REGARDING POWER OF ATTORNEY

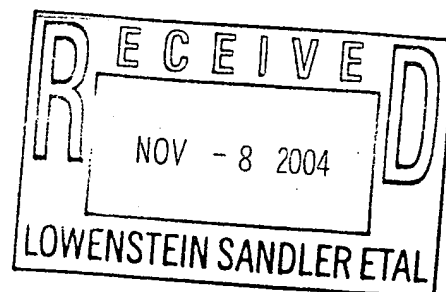
This is in response to the Power of Attorney filed 08/30/2004 . The Power of Attorney in this application is not accepted for the reason(s) listed below:

- The Power of Attorney is from an assignee and the Certificate required by 37 CFR 3.73(b) has not been received.

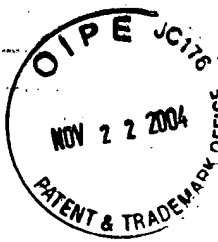
*Docket Administrator  
Lowenstein Sandler PC  
65 Livingston Avenue  
Roseland NJ, 07068*

  
SONYA M WILLIAMS  
3600 (703) 305-2272

NEW ATTORNEY/AGENT COPY



17129-5



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GRACIELA CHICHILNISKY

Application No.: 09/687,651

Filed: October 13, 2000

For: INTERNET BASED SECURE VIRTUAL  
EXCHANGE AND DISTRIBUTED  
RELATIONAL DATABASE FOR  
CROSS BORDER TRADING OF  
SECURITIES

Examiner: Charles R. Kyle

Group Art Unit: 3624

August 30, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUBMISSION OF APPOINTMENT/REVOCATION OF POWER OF ATTORNEY AND  
STATEMENT OF RIGHT TO TAKE ACTION PURSUANT TO 37 C.F.R. 3.73(b)

Sir:

Applicant submits herewith an appointment/revocation of power of attorney and a statement of right to take action pursuant to 37 C.F.R. 3.73(b) in the above-identified case. The attached Power of Attorney indicates that the appointed Lowenstein Sandler PC attorneys are associated with Customer No. 28221.

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. (703) 872-9306) on

August 30, 2004

Date of Deposit

Justin D. Petruzzelli (Reg. No. 52,118)

Name

Signature

August 30, 2004

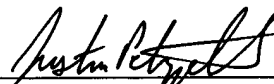
Date of Signature

**BEST AVAILABLE COPY**

Applicant's undersigned attorney may be reached by telephone at (973) 597-2500.

All correspondence should be directed to our address listed below.

Respectfully submitted,

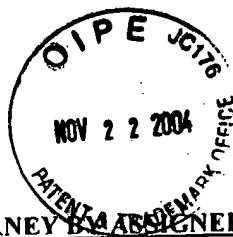


Justin D. Petruzzelli  
Attorney for Applicant

Registration No. 52,118

Docket Administrator  
LOWENSTEIN SANDLER PC  
65 Livingston Avenue  
Roseland, NJ 07068

BEST AVAILABLE COPY



**POWER OF ATTORNEY BY ASSIGNEE TO EXCLUSION OF INVENTOR  
UNDER 37 C.F.R. § 3.71 WITH REVOCATION OF PRIOR POWERS**

The undersigned ASSIGNEE of the entire interest in:

- ☐ U.S. Patent No.  
☒ U.S. application no. 09/687,651, filed on October 13, 2000

*Group A27 UNIT 3624*

hereby appoints all [FIRM NAME] attorneys registered to practice before the United States Patent and Trademark Office, as associated with Customer No. 28221, to prosecute this application and transact all business in the United States Patent and Trademark Office in connection therewith and hereby revokes all prior powers of attorney; said appointment to be to the exclusion of the inventors and the inventors' attorneys in accordance with the provisions of 37 C.F.R. § 3.71.

The following evidentiary documents establish a chain of title from the original owner to the Assignee:

*(complete one of the following)*

- ☐ a copy of an Assignment attached hereto, which Assignment the Application has been (or is herewith) forwarded to the Patent and Trademark Office for recording; or
- ☒ the Assignment recorded on December 12, 2000 at reel 011363, frames 0885-0886.

Pursuant to 37 C.F.R. § 3.73(b) the undersigned Assignee hereby states that evidentiary documents have been reviewed and hereby certifies that, to the best of ASSIGNEE's knowledge and belief, title is in the identified ASSIGNEE.

**CHANGE OF CORRESPONDENCE ADDRESS**

Direct all correspondence and telephone calls to:

Name	<u>Justin Petruzzelli</u>					
Address	<u>c/o Lowenstein Sandler PC</u>					
Address	<u>65 Livingston Avenue</u>					
City	<u>Roseland</u>	State	<u>NJ</u>	Zip	<u>07068</u>	Customer No.: <u>28221</u>
Country	<u>USA</u>	Telephone	<u>973 597 6396</u>	Fax	<u>973 597 6397</u>	

ASSIGNEE: CrossBorder Exchange Corporation

Name: GARY BRACKENRIDGE  
 Print

[Signature]  
 Signature

Title: CEO

Date: 8/18/04

**BEST AVAILABLE COPY**



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MARCH 06, 2001

PTAS

WILSON SONSINI GOODRICH & ROSATI  
PAUL DAVIS  
650 PAGE MILL ROAD, FH 1-2  
PALO ALTO, CALIFORNIA 94304-1050



\*101565233A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/12/2000

REEL/FRAME: 011363/0885  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CHICHILNISKY, GRACIELA

DOC DATE: 11/30/2000

ASSIGNEE:

CROSSBORDER EXCHANGE CORP.  
1410 BROADWAY, SUITE 2900  
NEW YORK, NEW YORK 10018

SERIAL NUMBER: 09687651

PATENT NUMBER:

FILING DATE: 10/13/2000

ISSUE DATE:

RHONDA NICOL, SUPERVISOR  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

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